



VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(b)) - NONPROFIT ORGANIZATION

Applicant or Patentee: Hung LI, Hsiu-Mei HSIEH-LI, Jan-Gowth CHANG
Attorney's Docket No.: 4910-3
Serial or Patent No.: Not Yet Assigned
Filed or Issued: Concurrently Herewith
Title: A KNOCKOUT-TRANSGENIC MOUSE MODEL OF SPINAL MUSCULAR ATROPHY

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION: **Academia Sinica**

ADDRESS OF NONPROFIT ORGANIZATION: **128, Sec. 2, Academia Sinica Road, Nankang, Taipei 115, Taiwan R.O.C.,**

TYPE OF NONPROFIT ORGANIZATION:

☐ University Or Other Institution Of Higher Education

☐ Tax exempt under Internal Revenue Service Code (26 u.s.c. 501(a) and 501(c)(3))

☐ Nonprofit Scientific or Educational Under Statute of State of the United States of America

(Name of State _____)

(Citation of Statute _____)

☐ Would qualify as tax exempt under Internal Revenue Service Code (26 u.s.c. 501(a) and 501(c)(3)) if located in the United States

☒ Would Qualify as Nonprofit Scientific or Educational Under Statute of State of the United States of America if located in the United States

(Name of State _____)

(Citation of Statute 26 U.S.C. 501(c)(3))

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees to the U. S. Patent and Trademark Office regarding the invention described in:

☒ the specification filed herewith with title listed above.

☐ the application identified above.

☐ the patent identified above.

I hereby state that rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

☐ no such person, concern, or organization exists.

☐ each such person, concern or organization listed below

FULL NAME: _____

ADDRESS: _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING: Chi-ming Liang

TITLE IN ORGANIZATION OF PERSON SIGNING: Director office of Public Affairs

ADDRESS OF PERSON SIGNING: Academia Sinica, Taipei, Taiwan

SIGNATURE: Chi-ming Liang

DATE: May 11, 2000